

Strategic Planning, Inc.
Business Continuity Plan Summary
April 2017

Strategic Planning, Inc. (“SPI”) is committed to protecting the interests of its clients.

Registered investment advisers are required to create and maintain a business continuity plan that is tailored to the size and needs of the firm and is reasonably designed to enable each adviser to meet existing obligations to its clients and other parties. In accordance with these requirements, SPI maintains a business continuity plan to address possible scenarios that may impact service to its clients.

In keeping with applicable regulatory requirements, the business continuity plan for SPI is designed to address key areas of concern, including, but not limited to, the following:

- Data back-up and recovery
- Mission-critical systems
- Operational assessments
- Alternate means of accessing systems in the case of disruption
- Annual testing of disaster recovery systems

If there is a disruption of service relating to SPI’s primary server, all access would be shifted to a back-up location hosted by Datto, Inc., our off-site backup server outside of Ohio. At a minimum, SPI’s systems and capability are tested on an annual basis to ensure preparedness.

In keeping with current best practices, SPI has put in place appropriate security measures to help protect its clients’ personal, non-public information, including the implementation of this Business Continuity Plan as well as other policies and procedures.

Thank you for your continued support.